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PTO/SB/21 (08-09)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/766,278

Filing Date January 19, 2001

First Named Inventor Charles A. Jennings

Group Art Unit 2152

Examiner Name Not Yet Assigned

Total Number of Pages in This Submission

Attorney Docket Number 389176

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449, copies of three (3) cited references, Certificate of Mailing & Return Post Card
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James M. Stipek
Signature	
Date	December 23, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: December 23, 2003

Typed or printed name	Wendy Precht	Date	December 23, 2003
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PATENT
Attorney Docket No. 389176
Express Mail Label No. EL 927874320 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Charles A. Jennings
Serial No. 09/766,278
Filed January 19, 2001
For System and Method for Managing Media

Examiner Not Yet Assigned
Group Art No. 2152
Confirmation No. 9674

December 23, 2003

Commissioner For Patents
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:
 Statement under 37 CFR 1.97(e), or
 a \$180 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first)

under 37 CFR 1.97(d) together with a:
 Statement under 37 CFR 1.97(e)(1) or (2), and
 a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of issue fee)

Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

This Application was filed after June 30, 2003. Therefore, Applicant(s) submit(s) herewith Form PTO 1449 – Information Disclosure Citation without copies of U.S. patents or U.S. publications, but includes copies of foreign patents, publications or other information of which Applicant(s) is/are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

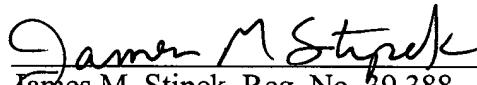
PATENT
Attorney Docket No. 389176
Express Mail Label No. EL 927874320 US

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC



James M. Stipek, Reg. No. 39,388
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Tel No: 816/460-5848
Fax No: 816/292-2001



PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

<i>Complete if Known</i>	
<i>Application Number</i>	09/766,278
<i>Filing Date</i>	January 19, 2001
<i>First Named Inventor</i>	Charles A. Jennin
<i>Art Unit</i>	2151
<i>Examiner Name</i>	Not Yet Assigned
<i>Attorney Docket Number</i>	389176

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U.S. PATENT DOCUMENTS

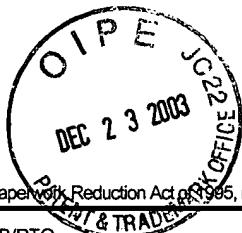
FOREIGN PATENT DOCUMENTS

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known	
<i>Application Number</i>	09/766,278
<i>Filing Date</i>	January 19, 2001
<i>First Named Inventor</i>	Charles A. Jennings
<i>Art Unit</i>	2152
<i>Examiner Name</i>	Not Yet Assigned
<i>Attorney Docket Number</i>	389176

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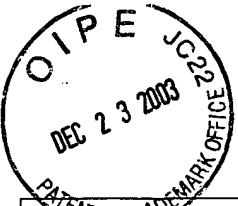
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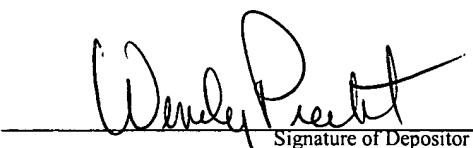
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Applicant(s): Charles A. Jennings			
Serial No. 09/766,278	Filing Date January 19, 2001	Examiner Not Yet Assigned	Group Art Unit 2152
For: SYSTEM AND METHOD FOR MANAGING MEDIA			
<p>I hereby certify that this: Transmittal Form (1 page); Information Disclosure Statement (2 pages); Form PTO-1449 (2 pages); copies of three (3) cited references; and return post card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 23rd day of December, 2003.</p>			
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